

Website: www.dspim.com E-mail: service@dspim.com Contact Centre: 1-800-200-4499

ACKNOWLEDGEMENT SLIP Acknowledgement is subject to verification. Request
Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.
Investor Name
Folio Number/s
Change of Address Change of Bank Mandate PoA Registration Contact Details PAN and KYC Updation Change in Mode of Holding Consolidation of Folios Nomination Details Cancellation for Systematic Transactions SIP STP SWP DTP - Cancellation
ISC Stamp & Signature
NON-FINANCIAL

TRANSACTIONS



PAN of the

PoA holder

COMMON TRANSACTION FORM

(For Existing Investors only)

NON-FINANCIAL TRANSACTIONS

Please fill only in the space provided. Any additional details/notings/instructions or
those provided at a non designated area of the form may not be executed. Kindly
strike off the unused Sections of the Form to avoid misuse.

Attached KYC Letter (Mandatory)

Notarized copy of PoA

`	,,			TRANSACTIONS			
lease fill only in the space provided. Any additional details/notings/instructions or hose provided at a non designated area of the form may not be executed. Kindly trike off the unused Sections of the Form to avoid misuse.	Distributor/RIA Name and ARN/Code	Sub Broker Code	Sub Broker/Branch/ RM Internal Code	Employee Unique ID. No. (EUIN) (Refer note below)			
xisting Folio Number/s							
		,					
lame of Sole / First Unitholder (Leave space between first / middle / last name) Mr. [⊥ Ms. ∟ M/s. ∟ Ott	ners	-				
CHANGE OF ADDRESS	CONTACT DETA	I LS (Refer Instruct	ions overleaf)				
or KYC complied folio, change of address should be submitted in KYC change form.	E-Mail	ILD (Never Instruce	ions overteur,				
or Non KYC folios-Attach self attested Proof of address AND PAN Card (OR Any other Proof of Identity for AN exempt cases only).	(IN CAPITAL)						
opies of all documents submitted should be accompanied by originals for verification or they should be							
ttested by a KYD complied distributor or personnel / entities authorized for attesting as per KYC guidelines.	Mobile						
	Tel. (Off.) STD C	ode					
	Tel. (Resi.) STD Co	ode					
andmark	Fax STD C	ode					
ity	DANI AND IOC	IDDATION		W.C. LETTER			
Pin Code State	PAN AND KYC L Sole/ First Applicant/			KYC LETTER			
CHANGE OF BANK MANDATE (FOR REDEMPTION AND DIVIDEND)				Attached			
ttach any one of: ☐ Cancelled Cheque with name & account number pre-printed	Second Applicant/ Gua			Attached			
☐ Latest Bank statement ☐ Latest Pass book ☐ Bank Letter submit originals of any one of the documents mentioned above, or copy should be attested	Third Applicant/ Guard	dian		Attached			
y the Bank or originals should be produced for verification. All supporting documents should learly evidence the bank name, bank account number and names of all account holders.	DECLARATION 8						
	Statement of Addit	tional Information, K	ey Information Memo	Information Document and randum and Instructions. I /			
ank Name	and agree to abide	e by the terms and c	onditions, rules and	nits of the relevant Scheme regulations of the Scheme. I			
Core Bank	in the event of my	y/our death and have	read the instruction	e amounts to my/our credits ns for nomination. Signature			
v/c No	liabilities of DSP N	Nutual Fund. I / We o	declare that the amo	l constitute full discharge of punt invested in the Scheme			
Branch Name	or evasion of any		, Notification, Direct	he purpose of contravention ions or any other applicable Authority.			
t Address	tans endeced by the		z.z o. a.i., statutoly				
City							
FSC Code 11 digit)							
AICR Čode 9 digit)							
PoA (Power of Attorney) REGISTRATION DETAILS (Refer Instructions overleaf)							
lame of the oA holder	Sole / First H	Holder See	cond Holder	Third Holder			
PAN of the							

(To be signed as per Mode of Holding)



COMMON TRANSACTION FORM

NON-FINANCIAL TRANSACTIONS

(For Existing Investors only)

Folio Number/s	1			1							/		
	1										1		
Name of Sole / First Unitholder (Leave s	pace between fir	st / middle /	last name)□ Mr	Ms M	/s. 🗆	Others							
CHANGE IN MODE OF HOLDING (All Joint Holders should sign, ever	n in case of "A	nv one or S	Survivor")										NS (SIP / SV
New Mode of Holding (please tick√)				g DSP -	Scneme	Plan / C	option (Please	mentio	on Fo	uo no)., SCN	eme, Plan / O
CONSOLIDATION OF FOLIOS (All Joint Holders should sign, ever	in case of "A	ny one or G	Europer")			(2)	о п .		-				
Folios to be consolidated (Mention all source fo					,,	e (Please v		Р Ц	STP	□ S'			
1.	4.		,		• • •	✓ Tick an	y one)		Day	s/Dat	tes (v	/ Tick	as applicable
2.	5.			— □ Da □ We					Пм	on l	□ Ti 14	۱ 🗆 د	Wed □ Thu
3.	6.			□ Mo	-								7th 🗆 10th
Target Folio No. for consolidation (Mention the tar	rget folio here, wher	ein all folios nee	eds to be consolidate	d)	arterly								20th 🗆 21s
Target Fo	olio (only one)			□ Ha	lf Yearl	У			□ 2	5th	□ 28	th	
NOMINATION DETAILS		,,,		☐ Yea	arly								
(All Joint Holders should sign, eve	en in case of O NOT wish to n		or Survivor")	Amount	Rs.								ation of SI tr
I/We do hereby nominate the person/s mor			nder / and / can		1.0.						may	take	upto one mor
the nomination made by me/us earlier in	respect of Units	held by Me/u		SIP Auto	Debit I	Bank Name							
		Allocation %	Signature (Nominee/Guardia	n) Bank A/	c No.								
Nominee 1 Name :				STP in t	o Schen	ne	DSP -						
Relationship with applicant:		-		(in case	of STP))	D3P -						
						ON FORM					ISFEF	R PLA	N (DTP)
Date of Birth DDD/MMM/Y	YYYY	_				v 7 days						t	'
Guardian Name (if minor):					scneme	e / Plan / (option (From w	vnere i	ועוםפ	ena is	transi	errea)
Nominee 2 Name :				DSP -									
Dolationship with applicant:		_		New Di	vidend :	Sub option	ı □ Di	ividend	Payou	t [□ Divi	idend I	Reinvestment
Relationship with applicant:		_				n & sign							
Date of Birth DDD/MM/M/Y	YYYY			Having Stateme	read arent of A	nd underst Idditional I	ood the	conte	ents of ey Info	the orma	Sche	me In Nemor	formation Do andum and In
Guardian Name (if minor):				/ We, h and agr / We he	ereby a ee to al ereby no	pply to the bide by the minate th	e terms e terms e above	e of DS and co nomin	nditio ee to	ual F ns, ri recei	una to ules a ve all	or Unit nd reg the a	formation Do andum and In its of the relev ulations of th mounts to my
Nominee 3 Name :				of the n	ominee	acknowle	ging re	ceipts	of my/	our o	redit	will c	onstituțe full
		-		is throu or evasi	gh legit on of a	imate sour	ces only	y and i , Rule,	s not o Notifi	desig catio	ned fon, Dir	or the rection	purpose of co purpose of co s or any othe thority.
Relationship with applicant:		_		laws en	acted b	y the Gov	ernment	t of Inc	dia or	any S	Státut	ory Au	thority.
Date of Birth DDD/MM/M/Y	YYYY												
Guardian Name (if minor) :													
	Total	100%				st Holder			cond H				Third Ho
	iotal	100%		(To	be signed	d as per Mod	e of Hold	ling. Hov	vever fo	or non	ninatio	n, all J	oint Holders sho

INSTRUCTIONS

■ CHANGE OF ADDRESS: Please always mention the Pincode while updating the address as it helps postal authorities and couriers in faster delivery. Change of address request for folios that are CVL KYC compliant will not be registered by the fund and investor should get the address updated with CVL system first. For details, please visit www. cvlindia.com. For Non KYC folios-Attach Proof of address AND PAN Card (OR Any other Proof of Identity for PAN event cases only).

Copies of all documents submitted should be self attested and accompanied by originals for verification or they should be attested by a KYD complied distributor or personnel / entities authorized for attesting as per KYC guidelines.

- CHANGE OF BANK MANDATE: Unitholder(s) need to attach any one of the following mandatory documents in original, in respect of each bank account for registering the bank accounts, failing which the particular bank account will not be registered. This will help in verification of the account details and register them accurately.
- ☐ Cancelled Cheque with name and account number pre-printed ☐ Latest Bank statement ☐ Latest Pass book ☐ Bank Letter
- a. If photocopy of any documents is submitted, the copy should be certified by the bank or investors must produce original for verification.
- All documents submitted should clearly evidence the bank name, account number and name of all account holders.

This bank account will be registered as the default account.

- CONTACT DETAILS: Applicants should provide contact information such as email address, mobile number and other telephone numbers. The fund sends transaction information and alerts via email and sms, which will assist them keep track of the activities in the folio. Account statements, newsletter, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address. Should they wish to have a hard copy, email request can be sent to service@dspim.com. It is deemed that the Unit Holder is aware of all the security risk associated with online communication, including possible third-party interception of documents sent via email.
- KYC COMPLIANCE: Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please Jog on to www.dspim.com/ www.cvlindia.com/www.amfiindia.com before investing. Applications are liable to be rejected without any intimation to the applicants, if KYC compliance, as required is not complied with by all the unit holders.
- CHANGE IN MODE OF HOLDING: Joint Applicants who wish to change their mode of holding from "Anyone or Survivor" to "Joint Holding" or vice versa should use this section and hereby agree that after the updation of new mode of holding/operation, any request based on previous holding/operation will not be honoured by the fund. Further, all unit holders need to sign the request irrespective of the Mode of holding.
- CONSOLIDATION OF FOLIOS: Consolidation of various folios can take place only if a. Names of unit holders, b. Order of unit holders and c. Tax status is identical in all the mentioned folios. By requesting for consolidation of folios, the unit holder/s agree that the mode of holding, bank mandate, address and nomination details in the Target Folio will be applicable and will prevail after consolidation even if they were different details in source folios.
- POA REGISTRATION: Only a general Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered within 10 working days of receipt of all valid documents
- NOMINATION DETAILS: The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination facility is also not available for investments held on behalf of minor. If the units are held jointly, all joint holders will have to sign the Nomination Form, even if the mode of holding is "Either or Survivor". A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units. The cancellation of the nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee. Investors who desire to make their nomination in favour of more than one and upto three individuals may do so by writing to the AMC/Registrar, specifying clearly the percentage to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees. The rights in the units will vest in the nominee only upon the death of all unitholders. A new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination. Applicants who do not wish to nominate, must at the designate space confirm their intention on not to nominate, failing which the form may be rejected at the discretion of the
- DIVIDEND TRANSFER PLAN (DTP): Form should be submitted atleast 7 days before the record date of any forthcoming proposed dividend. Please refer to www.dspim.com > Services > Dividend Transfer Plan for list of Source Scheme, Target Schemes and detailed terms and conditions.